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2667P  
10-22-03

PATENT  
Attorney Docket No.: SONY-15100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No.: 09/972,488

Filed: October 4, 2001

For: **A METHOD OF AND APPARATUS  
FOR UTILIZING EXTENDED  
AV/C COMMAND FRAMES  
INCLUDING STATUS INQUIRY,  
NOTIFY INQUIRY AND  
CONTROL INQUIRY COMMAND  
TYPES**

) Group Art Unit: 2661

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

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APR 15 2003

Technology Center 2600

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP.

Date: 4/10/03 By: Shawn McCormick



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Attorney Docket No.: SONY-15100

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In re Application of:	)	Group Art Unit: 2661
Jon Ebbe Brelin	)	Examiner:
Serial No.: 09/972,488	)	<b>CERTIFICATION FOR</b>
Filed: October 4, 2001	)	<b>SUPPLEMENTAL INFORMATION</b>
For: <b>A METHOD OF AND APPARATUS</b>	)	<b>DISCLOSURE STATEMENT UNDER 37</b>
<b>FOR UTILIZING EXTENDED</b>	)	<b>CFR § 1.97(e)</b>
<b>AV/C COMMAND FRAMES</b>	)	162 N. Wolfe Road
<b>INCLUDING STATUS INQUIRY,</b>	)	Sunnyvale, CA 94086
<b>NOTIFY INQUIRY AND</b>	)	(408) 530-9700
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<b>TYPES</b>	)	

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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on March 18, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

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- 2 -

HAVERSTOCK & OWENS LLP

Date: 4/10/03 By: Sharon McConick



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) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
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) (408) 530-9700

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,555,413;
- U.S. Patent No. 5,724,646;
- U.S. Patent No. 6,370,688 B1;
- U.S. Patent No. 6,438,110 B1;
- U.S. Patent No. 6,513,064 B1; and
- U.S. Patent No. 6,516,416 B2.

PATENT  
Attorney Docket No.: SONY-15100

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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**HAVERSTOCK & OWENS LLP.**

Date: 4/10/03 By: Sharon M. Carmack

FORM PTO-1449  
(Modified)

APR 14 2003

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-15100

Serial No.: 09/972,488

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Jon Ebbe Brelín

Filing Date: October 4, 2001

Group Art Unit: 2661

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	5,555,413	09/10/96	Lohman et al.	395	733	02/17/95
	AB	5,724,646	03/03/98	Ganek et al.	455	4.2	06/15/95
	AC	6,370,688 B1	04/09/02	Hejna, Jr.	725	101	05/26/99
	AD	6,438,110 B1	08/20/02	Rai et al.	370	254	08/26/99
	AE	6,513,064 B1	01/28/03	Horiguchi et al.	709	223	09/13/99
	AF	6,516,416 B2	02/04/03	Gregg et al.	713	201	06/11/97
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
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	AM							
	AN							
	AO							

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## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AP	
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	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.